

Chicago Clearing Corporation (CCC)

Privacy Policy

January 2011

Financial institutions like CCC are required to provide privacy policy notices to their clients. We believe that protecting the privacy of your nonpublic personal information ("personal information") is of the utmost importance. Personal information is nonpublic information about you that is personally identifiable and that we obtain in connection with providing a financial product or service to you. For example, personal information includes information regarding your account balance and investment activity. This notice describes the personal information that we collect about you, and our treatment of that information.

We collect personal information on trade data and related forms (for example, name, address, account activity and potentially a tax identification code).

We do not disclose any personal information we collect, as described above, about our customers or former customers to anyone other than in connection with the administration, processing and servicing of customer accounts or to our accountants, attorneys and auditors, or otherwise as permitted by law.

We restrict access to personal information we collect about you to our personnel who need to know that information in order to provide products or services to you. We maintain physical, electronic and procedural controls in keeping with federal standards to safeguard your nonpublic personal information.

We reserve the right to change this Notice, and to apply changes to information previously collected, as permitted by law. We will inform you of any changes as required by law.

If you have any questions or concerns regarding this policy please contact Mr. Jim Tharin at (312) 204-6970.